

Research Report Disclosures

Symbol	Company Name	1	2	3	4	5	23	30	6	7	8	9	28	11	25	12	31	13	14	15	17	18	26	27
AB	AllianceBernstein Holding L.P.					X																		
ABCW	Anchor Bancorp Wisconsin Inc					X																		
ADP	Automatic Data Processing, Inc.					X		X																
AEG	Aegon NV ADR					X	X	X																
AET	Aetna Inc.					X																		
AFC	Allied Capital					X																		
AHFCF	Flow Capital Corp.						X																	
AIG	American International Group, Inc.					X		X																
ALIZF	Allianz SE					X	X																	
ALL	Allstate Corporation					X																		
ALRS	Alerus Financial Corporation					X																		
AMG	Affiliated Managers Group, Inc.					X																		
AMP	Ameriprise Financial, Inc.					X																		
APAM	Artisan Partners Asset Management, Inc. Class A					X																		
ASBC	Associated Banc-Corp													X	X									
AXAHY	AXA SA Sponsored ADR					X																		
AXP	American Express Company														X									
BAC	Bank of America Corp		X		X									X								X		
BACHY	Bank of China Limited Unsponsored ADR Class H														X									
BAM	Brookfield Asset Management Inc. Class A					X																		
BBT	Truist Financial Corporation					X								X										
BBVA	Banco Bilbao Vizcaya Argentaria, S.A. Sponsored ADR														X									
BCS	Barclays PLC Sponsored ADR		X		X																			
BEN	Franklin Resources, Inc.					X	X	X																
BHF	Brighthouse Financial, Inc.					X		X																

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BK	Bank of New York Mellon Corporation					X								X										
BLK	BlackRock, Inc.					X		X																
BMO	Bank of Montreal		X		X	X	X	X						X										
BMO.TO	Bank of Montreal		X		X	X	X	X						X										
BNPQY	BNP Paribas SA Sponsored ADR					X								X	X									
BNS	Bank of Nova Scotia		X		X		X							X										
BNS.TO	Bank of Nova Scotia		X		X		X							X										
BOKF	BOK Financial Corporation													X	X									
C	Citigroup Inc.		X		X										X									
CBSH	Commerce Bancshares, Inc.													X										
CFG	Citizens Financial Group, Inc.													X										
CG	Carlyle Group Inc					X																		
CI	Cigna Corporation					X																		
CIX.TO	CI Financial Corp					X	X	X																
CLMS	Calamos Asset Management, Inc. Class A					X																		
CM	Canadian Imperial Bank of Commerce		X		X	X	X							X	X									
CM.TO	Canadian Imperial Bank of Commerce		X		X	X	X							X	X									
CMA	Comerica Incorporated													X										
CNS	Cohen & Steers, Inc.					X																		
CPFH	Capital Financial Holdings, Inc.					X																		
CS	Credit Suisse Group AG Sponsored ADR					X																		
CTL	Lumen Technologies, Inc.																							X
CYB.TO	Cymbria Corporation						X																	
D107301	Dividend Select 15 Corp COM						X																	
DB	Deutsche Bank AG					X																		
DGS.TO	Dividend Growth Split Corp.						X																	
DVSPF	Dividend 15 Split Corp. Class A						X																	
EBTC	Enterprise Bancorp, Inc.													X										

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EFSC	Enterprise Financial Services Corp														X									
EV	Eaton Vance Corp.					X																		
EWBC	East West Bancorp, Inc.														X									
F	Ford Motor Company																							X
FFN.TO	North American Financial 15 Split Corp						X																	
FII	Federated Hermes, Inc. Class B					X		X																
FIS	Fidelity National Information Services, Inc.					X																		
FISI	Financial Institutions, Inc.														X									
FITB	Fifth Third Bancorp													X	X									
GBL	GAMCO Investors, Inc. Class A					X																		
GE	General Electric Company																							X
GNW	Genworth Financial, Inc. Class A					X		X																
GS	Goldman Sachs Group, Inc.		X		X	X		X							X									
GWLIF	Great-West Lifeco Inc.					X	X	X																
GWO.TO	Great-West Lifeco Inc					X	X	X																
HIG	Hartford Financial Services Group, Inc.					X		X																
HWC	Hancock Whitney Corporation					X																		
ICFNF	Income Financial Trust 1999-1.1.19 Trust Units						X																	
IGM.TO	IGM Financial Inc.					X	X	X																
ING	ING Groep NV Sponsored ADR					X																		
IVZ	Invesco Ltd.					X	X	X																
IX	ORIX Corporation Sponsored ADR					X																		
JNS	Janus Capital Group Inc.					X																		
JPM	JPMorgan Chase & Co.		X		X	X		X						X	X									
KEY	KeyCorp														X									
LAZ	Lazard Ltd Class A					X	X																	

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LB.TO	Laurentian Bank						X																	
LM	Legg Mason, Inc.					X																		
LNC	Lincoln National Corporation					X		X																
LUMN	Lumen Technologies, Inc.																							X
MET	MetLife, Inc.					X		X																
MFC	Manulife Financial Corporation					X	X	X																
MFC.TO	Manulife Financial Corporation					X	X	X																
MN	Manning & Napier, Inc. Class A					X																		
MS	Morgan Stanley		X		X	X									X									
MTB	M&T Bank Corporation					X									X									
NA.TO	National Bank of Canada						X																	
NFS	Nationwide Financial Services Inc.					X																		
NTIOF	National Bank of Canada						X																	
NTRS	Northern Trust Corporation					X								X										
NTXFF	NATIXIS					X	X																	
OMAM	BrightSphere Investment Group, Inc.					X																		
OPY	Oppenheimer Holdings Inc. Class A					X		X																
OSP.TO	Brompton Oil Split Corporation						X																	
PAYX	Paychex, Inc.					X		X																
PBCT	People's United Financial, Inc.													X										
PDV.TO	Prime Dividend Corp.						X																	
PFG	Principal Financial Group, Inc.					X		X																
PJC	Piper Sandler Companies					X																		
PL	Protective Life Corporation					X		X																
PMMTF	Precious Metals and Mining Trust Units						X																	
PNC	PNC Financial Services Group, Inc.													X	X									

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PNX	Phoenix Companies, Inc.					X																		
POW.TO	Power Corporation of Canada					X	X	X																
PRU	Prudential Financial, Inc.					X		X																
PWCDF	Power Corporation of Canada					X	X	X																
RY	Royal Bank of Canada						X	X						X										
RY.TO	Royal Bank of Canada						X	X						X										
SBCF	Seacoast Banking Corporation of Florida														X									
SBHGF	SBI Holdings, Inc.					X																		
SBNY	Signature Bank													X										
SCGLF	Societe Generale S.A. Class A		X		X																			
SCHW	Charles Schwab Corporation					X																		
SCP.TO	Stellar Capital Partners						X																	
SEIC	SEI Investments Company						X																	
SHNWF	Schroders PLC					X																		
SII.TO	Sprott Inc.					X																		
SLF	Sun Life Financial Inc.					X	X	X																
SLF.TO	Sun Life Financial Inc					X	X	X																
SLFPF	Standard Life Aberdeen PLC					X	X																	
SLM	SLM Corp					X		X																
STT	State Street Corporation					X								X	X									
T	AT&T Inc.																							X
TD	Toronto-Dominion Bank		X		X		X																	
TD.TO	Toronto-Dominion Bank		X		X		X																	
TFC	Truist Financial Corporation					X								X	X									
THG	Hanover Insurance Group, Inc.					X																		
TRMK	Trustmark Corporation					X																		
TROW	T. Rowe Price Group					X																		
UBS	UBS Group AG					X																		
UMBF	UMB Financial Corporation					X								X										
USB	U.S. Bancorp					X			X	X				X	X						X			

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VCTR	Victory Capital Holdings, Inc. Class A					X																		
VOYA	Voya Financial, Inc.					X		X																
VRTS	Virtus Investment Partners, Inc.					X																		
VZ	Verizon Communications		X		X																			
WDR	Waddell & Reed Financial, Inc. Class A					X	X	X																
WFC	Wells Fargo & Company		X		X	X								X	X									
WHG	Westwood Holdings Group, Inc.					X	X																	
WNMLA	Winmill & Co. Incorporated Class A					X																		
ZFSVF	Zurich Insurance Group Ltd					X																		
ZURVY	Zurich Insurance Group Ltd Sponsored ADR					X																		

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Legend - Listed in Display Order

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3. Edward Jones expects to receive or intends to seek compensation from this company for investment banking services within the next three months.
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5. Edward Jones has received compensation from this company for providing non-investment banking securities-related services within the past twelve months.
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30. This company, its parent or an affiliate is a product partner of Edward Jones. Edward Jones received both standard compensation and reimbursement for certain expenses as well as additional financial and non-cash incentives and benefits for non-investment banking services in connection with the sales of financial products from this product partner within the past twelve months.
6. Edward Jones has provided non-securities services for this company within the past twelve months.
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