

EDWARD JONES TRADING POLICIES AND PRACTICES

The Canadian securities marketplace continues to evolve and has expanded to include a number of new alternative trading systems (ATS). Securities which are listed and traded on the Toronto Stock Exchange (TSX) or the TSX Venture Exchange (TSX V) may also trade on an ATS.

The following summary provides important information on the handling of client orders:

1. Trade Desk Hours of Operation

The Edward Jones trading desk will accept and enter orders between the hours of 9:30 am (EST) and 4:00 pm (EST), Monday through Friday, not including Canadian holidays. Edward Jones in general does not participate in after-hours trading. Orders received outside of the hours of 9:30 am to 4:00 pm will be queued for the next business day.

2. Principal Marketplace

The principal marketplace for all securities listed on the TSX will be the TSX, unless notified otherwise by Edward Jones.

3. Order Handling

- Orders received prior to the opening will be entered into pre-opening book of the principal marketplace for execution on the opening. Orders will not be routed to an alternative marketplace, unless so requested by a client.
- Orders received after the principal market close will be entered to the pre-opening book of the principal marketplace on the following business day.
- Orders received during the principal marketplace operating hours will be entered into the best market at the time of entry. The "best market" is the marketplace with the best bid (buy price) or best ask (sell price) or where Edward Jones feels the order has the highest probability of being executed.
- Orders (or portions) that are unfilled will be booked to the principal marketplace until they are completed, expired, changed or cancelled.
- Orders will not be reduced in price upon the security becoming ex-dividend, unless the client specifically requested this at the time of placing the order.

4. Order Execution

a. Day Orders:

A Day Order is an order to trade that expires if it is not executed the day that it is booked to the marketplace. Day Orders will be handled in accordance with standard order routing procedures. All Day Orders expire, if not filled in full, upon the close of the marketplace where the last portion of the order remains live, unless otherwise agreed.

b. Good Till Cancelled (Open) Orders:

Good Till Cancelled orders are orders that will remain open until a specified date of expiry. These orders will be entered in the principal marketplace (TSX) if they are not immediately executable on an alternative marketplace at the time of entry. The order will remain in the principal marketplace until executed or expiry, whichever comes first.

c. Market Orders:

A Market Order is an order to buy or sell a security at whatever prices are available in the marketplace to help ensure a complete and full fill. Upon entry to the marketplace, these orders require immediate completion. Market Orders will be handled in accordance with the standard order handling procedures. These orders will expire, if not filled in full, on the marketplace where the last portion of the order remains live, at the close of the marketplace.

d. Limit Orders:

A Limit Order is an order for a security at a specific minimum sale price or maximum purchase price that is not to be exceeded. Limit Orders will be handled in accordance with standard order handling procedures. The order will expire, if not filled in full, upon the close of the marketplace where the last portion of the order remains live.

e. Special Terms Orders:

Special Terms Orders are orders with specific terms that are not executable in the regular marketplace. Special Terms Orders will only post to the Special Terms Market of the principal marketplace, unless they are immediately executable on an alternative marketplace at the time of entry. Special Terms Orders will expire at the close of the principal marketplace.

f. Stop Loss Orders:

Stop Loss Orders are orders that become limit orders when a board lot is traded at, or superior to, the stop loss price on the marketplace in which the order has been booked. These orders will only be directed to the principal marketplace book, and will remain until executed or expiry, whichever comes first.

5. Trading Halts

If a trading halt is announced in a particular security in a particular marketplace, all orders for that security will be halted and remain in that marketplace. Trading will not occur until the halt has been lifted.

6. Extenuating Circumstances

In the event of technical or other issues that limit our access to specific marketplaces or routing automation, orders received will be directed to the principal or alternative marketplace as circumstances warrant and as determined at the sole discretion of Edward Jones, consistent with the client's best interest.