

Business Titles and Financial Designations

Your advisor is an employee of Edward Jones. Edward Jones advisors hold the business title of Financial Advisor (Investment Advisor in Québec). No other business titles are permitted. Financial Advisors are registered with the Investment Industry Regulatory Organization of Canada (IIROC) as Investment Advisors.

Advisors approved by IIROC to offer services at IIROC-regulated firms must complete the Canadian Securities Course, the Conduct and Practices Handbook Course, the Registrant Code of Ethics and the Registrant Standards of Conduct. Once hired by Edward Jones, advisors must complete a 90 day training program and undergo rigorous background checks before they can be approved to work. They must also complete ongoing education programs after they are registered to maintain proficiency standards. Every three years, advisors must complete a continuing education program comprising of 12 hours of compliance and 30 hours of professional development courses.

Edward Jones advisors are permitted the use of approved financial designations. The review of financial designations is subject to a defined list of criteria. Individuals who have passed the relevant qualifying exams and maintained their status through continuing education and meeting minimum ethics standards may use firm approved financial designations on business cards, advertising and stationery.